

PATENT 0505-4001 Express Mail Label No.

EK052444438US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS **Box Patent Application** Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application of:

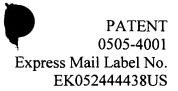
Named Inventor(s) and Address(es): Christopher Keith

105 Hudson Street Apt. 5S, New York, New York 10013

For: TRADING SYSTEM WITH ELFS AND UMPIRES

| Enclosed are |
|--|
| [X]19_ pages of specification,1_ page of Abstract,3_ pages of claims1-16_ [X] _5_ sheets of drawings [] formal [X] informal [X] _1_ pages of Declaration and Power of Attorney |
| [] unsigned [X] newly executed [] copy from prior application [] Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2) |
| [] Claim for Priority |
| [] Certified copy of Priority Documents [] English translation |
| [] Information Disclosure Statement [] copy of cited references |
| [] copy of PTO-1449 filed in parent application serial no. |
| [] Preliminary Amendment |
| [X] Return receipt postcard |
| [] Assignment papers (assignment cover sheet and assignment documents) |
| [] a check in the amount of \$40.00 for recording the Assignment |
| [] Assignment papers filed in parent application serial no. |
| [] Certification of chain of title pursuant to 37 C.F.R. § 3.73(b) |
| [] This is a [] continuation [] divisional [] continuation-in-part (CIP) |
| of prior application serial no of the parent application before calculating the |
| filing fee (at least one original independent claim must be retained for filing purposes). |
| [] A Preliminary Amendment is enclosed, adding claims numbered consecutively after the highest |
| numbered original claim in the prior application. |
| The status of the parent application is as follows: |
| [] A Petition For Extension of Time and proper Fee has been or is being filed in the parent |
| application to extend the term for action in the parent application until |
| [] A copy of the Petition For Extension of Time in the co-pending parent application is attached. |
| [] No Petition For Extension of Time is necessary in the co-pending parent application. |
| [] Please abandon the parent application at a time while the parent application is pending or at a time |
| when the Petition For Extension of Time in that application is granted and while this application is |
| pending or has been granted a filing date, so as to make the application co-pending. |
| [] Transfer the drawings from the parent application to this application. |
| [] Amend the specification by inserting before the first line the sentence: |
| This is a [] continuation [] divisional [] continuation-in-part (CIP) of co-pending application |
| serial no filed |





CALCULATION OF APPLICATION FEE (For a Small Entity)

| | No. Filed | No. Included in Basic Fee | No. Extra | Rate per Claim | TOTAL |
|--------------------|--------------|------------------------------|--------------|-------------------|-------|
| Basic Fee | | | | | 345 |
| Total Claims | 16 | 20 | | 9 | |
| Independent Claims | 3 | 3 | | 39 | |
| Multiple Dependent | | | | 130 | |
| Claims | | | | | |
| TOTAL | | | | | 345 |

[X] A [X] signed Statement Claiming Small Entity Status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(f) are paid herewith.

[X] A check in the amount of $\frac{345.00}{}$ in payment of the application filing fee is attached.

Respectfully submitted,

Date: April 10, 2000

Brenda Pomerance Reg. No. 36,894

CORRESPONDENCE ADDRESS:

Brenda Pomerance 260 West 52 St. Apt. 27B New York, New York 10019 (212) 245-3940



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) :

Christopher Keith

Filed

Herewith

Group Art Unit:

Examiner

For

TRADING SYSTEM WITH ELFS AND UMPIRES

EXPRESS MAIL CERTIFICATE

Express Mail Label No: <u>EK052444438US</u>

Date of Deposit: April 10, 2000

I hereby certify that the following attached paper(s) and/or fee

- 1. Application including 19 pg. specification, 1 pg. Abstract, 3 pg. claims
- 2. 5 sheets drawings
- 3. Declaration, signed
- 4. Statement Claiming Small Entity Status
- 5. Application Fee Transmittal
- 6. Check for \$345.00
- 7. Return receipt postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Brenda Pomerance

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